



REPORT TO:	OVERVIEW AND SCRUTINY COMMITTEE
DATE:	3 OCTOBER 2013
REPORT OF THE:	CORPORATE DIRECTOR (s151) PAUL CRESSWELL
TITLE OF REPORT:	INTERNAL AUDIT – Q1 MONITORING REPORT
WARDS AFFECTED:	ALL

EXECUTIVE SUMMARY

1.0 PURPOSE OF REPORT

1.1 The report summarises the outcome of internal audit work undertaken between April and August 2013.

2.0 RECOMMENDATION

2.1 It is recommended that the committee note the results of audit and fraud work undertaken in 2013/14 to date.

3.0 REASON FOR RECOMMENDATION

3.1 To enable the committee to fulfil its responsibility for considering the outcome of internal audit work.

4.0 SIGNIFICANT RISKS

4.1 The Council will fail to comply with proper practice requirements for internal audit if the results of audit work are not considered by an appropriate committee.

5.0 POLICY CONTEXT AND CONSULTATION

5.1 This report supports the Council's Corporate Strategic Objective of providing strong Community Leadership, by demonstrating a commitment to local democracy and accountability.

6.0 REPORT DETAILS

6.1 The committee endorsed the internal audit plan in April 2013. The purpose of this report is to inform Members of the progress made to date in delivering the plan, and any developments likely to have an impact on the plan throughout the remainder of the financial year.

6.2 Annex A includes a summary of the audit opinions and findings for the individual

audits completed so far. No major issues have been identified to date.

7.0 IMPLICATIONS

7.1 The following implications have been identified:

- a) Financial
None
- b) Legal
None
- c) Other (Equalities, Staffing, Planning, Health & Safety, Environmental, Crime & Disorder)
None

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Background Papers:
None